

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

STEPHEN SULLIVAN, WHITE OAK FUND LP,
CALIFORNIA STATE TEACHERS' RETIREMENT
SYSTEM, SONTERRA CAPITAL MASTER FUND,
LTD., FRONTPOINT PARTNERS TRADING
FUND, L.P., AND FRONTPOINT AUSTRALIAN
OPPORTUNITIES TRUST on behalf of themselves
and all others similarly situated,

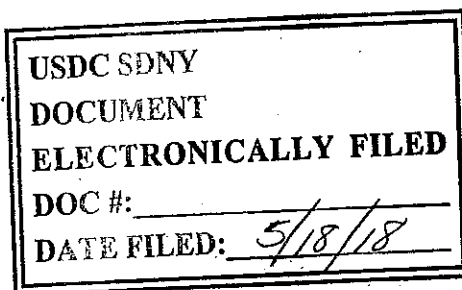
Plaintiffs,

- against -

BARCLAYS PLC, BARCLAYS BANK PLC, BARCLAYS
CAPITAL INC., BNP PARIBAS S.A., CITIGROUP,
INC., CITIBANK, N.A., COÖPERATIEVE
CENTRALE RAIFFEISEN-BOERENLEENBANK
B.A., CRÉDIT AGRICOLE S.A., CRÉDIT AGRICOLE
CIB, DEUTSCHE BANK AG, DB GROUP SERVICES
UK LIMITED, HSBC HOLDINGS PLC, HSBC BANK
PLC, ICAP PLC, ICAP EUROPE LIMITED, J.P.
MORGAN CHASE & CO., JPMORGAN CHASE
BANK, N.A., THE ROYAL BANK OF SCOTLAND
PLC, SOCIÉTÉ GÉNÉRALE SA, UBS AG AND JOHN
DOE NOS. 1-50,

Defendants.

Docket No. 13-cv-02811 (PKC)



[PROPOSED] ORDER

GRANTING CLASS COUNSEL'S MOTION FOR REIMBURSEMENT OF EXPENSES

This matter came for a duly-noticed hearing on May 18, 2018 (the "Settlement Hearing"), upon the Class Counsel's Motion for Award of Attorneys' Fees and Reimbursement of Expenses, ("Fee and Expense Application") in the above-captioned action (the "Actions"). The Court has considered the Fee and Expense Application and all supporting and other related materials, including the matters presented at the Settlement Hearing. Due and adequate notice of: (1) the Settlement Agreement Between Plaintiffs and the Barclays Defendants entered into on October 7, 2015; (2) the Settlement Agreement Between Plaintiffs and the Deutsche Bank Defendants entered

into on May 10, 2017; and (3) the Settlement Agreement Between Plaintiffs and the HSBC Defendants entered into on December 27, 2016 (collectively, the “Settlements”)¹ having been given to the Settlement Class Members, the Settlement Hearing having been held, and the Court having considered all papers filed and proceedings held herein and otherwise being fully informed in the premises and good cause appearing therefore,

IT IS HEREBY ORDERED, ADJUDGED AND DECREED THAT:

1. This Court has personal jurisdiction over Plaintiffs, Barclays, Deutsche Bank, and HSBC (the “Parties”) and all Settlement Class Members and subject matter jurisdiction over the Actions to approve the Settlements and all exhibits attached thereto;
2. Notice of the Fee and Expense Application was provided to potential Settlement Class Members in a reasonable manner, and such notice complies with Rule 23(h)(1) of the Federal Rules of Civil Procedure and due process requirements;
3. Plaintiffs’ Counsel is hereby awarded expenses in the amount of \$1,600,000, plus interest for the same time period and at the same rate as earned by the Settlement Funds, which shall be paid out of the Settlement Funds;
4. In the event that the Settlements are terminated or the Effective Date does not occur in accordance with the terms of the Settlements, this Order shall be null and void, of no further force or effect, and without prejudice to any of the Parties, and may not be introduced as evidence or used in any actions or proceedings by any Person against the Parties.
5. Pursuant to Sections 8 and 31 of the Settlement Agreement Between Plaintiffs and the Barclays Defendants, Sections 8 and 34 of the Settlement Agreement Between Plaintiffs and the Deutsche Bank Defendants, and Sections 8 and 31 of the Settlement Agreement Between Plaintiffs

¹ Unless otherwise defined herein, all capitalized terms used have the meanings set forth and defined in the Settlements.

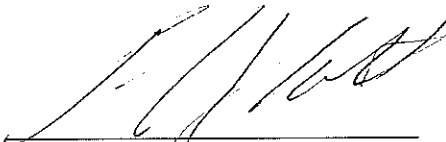
and the HSBC Defendants, this expense award is independent of the Court's consideration of the fairness, reasonableness, and adequacy of the Settlements and is also independent of the Court's consideration of the proposed Plan of Allocation and award of attorneys' fees;

6. The expenses awarded herein may be paid to Plaintiffs' Counsel from the Settlement Funds immediately upon entry of this Order, subject to the terms, conditions, and obligations of the Settlements which terms, conditions, and obligations are incorporated herein;

7. Class Counsel is hereby authorized to allocate the expenses among Plaintiffs' Counsel in a manner in which, in its judgment, reflects the costs and expenses incurred in the prosecution and settlement of the Actions; and

IT IS SO ORDERED.

This 18th day of May, 2018.



Honorable P. Kevin Castel
United States District Judge